

During the Reporting Period Did Your Organization:

- 10. Have a "subsidiary organization" as defined in Section X of the instructions? Yes No
- 11. Create or participate in the administration of a trust or other fund or organization, as defined in the instructions, which provides benefits for members or their beneficiaries? Yes No
- 12. Have a political action committee (PAC) fund? Yes No
- 13. Acquire or dispose of any goods or property in any manner other than by purchase or sale? Yes No
- 14. Have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative? Yes No
- 15. Discover any loss or shortage of funds or other property? Yes No
(Answer "Yes" even if there has been repayment or recovery.)
- 16. Have any officer who was paid \$10,000 or more by your organization and also received \$10,000 or more as an officer or employee of another labor organization or of an employee benefit plan? Yes No
- 17. Pay any employee salary, allowances, and other expenses which, together with any payments from affiliates, totaled more than \$10,000? Yes No
- 18. Have loans totaling more than \$250 to any officer, employee, or member, or make any loans to a business enterprise? Yes No

(If the answer to any of the above questions is "Yes," provide details in Item 56 on page 1 as explained in the instructions for each item.)

- 19. How many members did your organization have at the end of the reporting period? 74
- 20. What is the maximum amount recoverable under your organization's fidelity bond for a loss caused by any officer or employee of your organization? \$ 12,500
- 21. During the reporting period, did your organization have any changes in its constitution and bylaws (other than rates of dues and fees) or in practices/procedures listed in the instructions? Yes No
(If the constitution and bylaws have changed, attach two new dated copies. If practices/procedures have changed, see the instructions.)
- 22. What is the date of your organization's next regular election of officers? MO 08 YEAR 2004
- 23. What are your organization's rates of dues and fees?
(Enter a minimum and maximum if more than one rate applies for any line.)

Rates of Dues and Fees	
(a) Regular Dues/Fees	\$ 14. ¹¹ to 19.45 per <u>Week</u> (Month, Year, etc.)
(b) Initiation Fees	\$ <u>100.⁰⁰</u>
(c) Transfer Fees	\$ <u>None</u>
(d) Work Permits	\$ <u>None</u> per _____ (Month, Year, etc.)

24. ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Enter Amounts in Dollars Only — Do Not Enter Cents

FILE NUMBER: 055-793

(A) Name <small>(List all persons who held office during the reporting period even if they received no salary or other disbursements. Use all capital letters.)</small>		Status (C)*	Gross Salary (before taxes and other deductions) (D)	Allowances and Other Disbursements (E)	Total (F)
(B) Title <small>(Enter title of officer, such as PRESIDENT or TREASURER.)</small>					
<small>Last Name</small> 1. LATHROP	<small>First Name</small> JEFFREY		0	507	507
<small>Title</small> PRESIDENT		<small>Status</small> C			
<small>Last Name</small> 2. HODGES	<small>First Name</small> LEONARD		0	507	507
<small>Title</small> VICE PRESIDENT		<small>Status</small> C			
<small>Last Name</small> 3. BENZ	<small>First Name</small> MICHAEL		0	507	507
<small>Title</small> RECORDING SECRETARY		<small>Status</small> C			
<small>Last Name</small> 4. WEED	<small>First Name</small> CHARLES		0	507	507
<small>Title</small> FINANCIAL SECRETARY		<small>Status</small> C			
<small>Last Name</small> 5. ENRIE	<small>First Name</small> ANN		0	507	507
<small>Title</small> TREASURER		<small>Status</small> C			
<small>Last Name</small> 6. WHALEN	<small>First Name</small> TIMOTHY		0	507	507
<small>Title</small> AFL-CIO REPRESENTAT		<small>Status</small> C			
7.					
8. Totals from additional pages (if any)			- 0 -	- 0 -	- 0 -
9. Totals of Lines 1 through 8			- 0 -	3,042	3,042
10. Less Deductions					0
Enter the Total from Line 11 in Item 45 =>					3,042

*Code for Status (C): past officer — P; continuing officer — C; new officer during the reporting period — N.

(If any officer was not elected at a regular election in accordance with your organization's constitution and bylaws, explain in Item 56 on page 1.)

Enter Amounts in Dollars Only — Do Not Enter Cents

FILE NUMBER: 055-793

STATEMENT A ASSETS AND LIABILITIES	ASSETS		LIABILITIES			
	Item	Start of Reporting Period (A)	End of Reporting Period (B)	Item	Start of Reporting Period (C)	End of Reporting Period (D)
	25. Cash	88571	78370	32. Accounts Payable	0	0
	26. Loans Receivable	0	0	33. Loans Payable	0	0
	27. U.S. Treasury Securities	0	0	34. Mortgages Payable	0	0
	28. Investments	0	0	35. Other Liabilities	0	0
	29. Fixed Assets	0	0	36. TOTAL LIABILITIES	0	0
	30. Other Assets	0	0			
	31. TOTAL ASSETS	88571	78370	37. NET ASSETS (Item 31 less Item 36)	88571	78370

STATEMENT B RECEIPTS AND DISBURSEMENTS	CASH RECEIPTS		CASH DISBURSEMENTS	
	Item	AMOUNT	Item	AMOUNT
	38. Dues	85976	45. To Officers (from Item 24)	3042
	39. Per Capita Tax	0	46. To Employees (less deductions)	0
	40. Fees, Fines, Assessments & Work Permits	0	47. Per Capita Tax	8067
	41. Interest & Dividends		48. Office & Administrative Expense	3705
	42. Sale of Investments & Fixed Assets	0	49. Professional Fees	63806
	43. Other Receipts	12586	50. Benefits	0
	44. TOTAL RECEIPTS	99248	51. Contributions, Gifts & Grants	0
<p>If total receipts reported in Item 44 are \$200,000 or more, your organization must file Form LM-2 instead of this form.</p>			52. Purchase of Investments & Fixed Assets	0
			53. Loans Made	0
			54. Other Disbursements	30829
			55. TOTAL DISBURSEMENTS	109449

International Union of Operating Engineers

Local No. 409

POST OFFICE BOX 366
NIAGARA SQUARE STATION
BUFFALO, NEW YORK 14201-0366

AFFILIATED WITH

NEW YORK STATE CONFERENCE

AMERICAN FEDERATION OF LABOR

N.Y. STATE FEDERATION OF LABOR

BUFFALO AFL-CIO COUNCIL

JEFFREY C. LATHROP, President
Business: (716) 891-4058
FAX: (716) 891-4061

LEONARD W. HODGES, Vice-President
Business: (716) 856-0651

MICHAEL D. BENZ, Rec./Corr. Sec.
Business: (716) 896-0063

CHARLES R. WEED, Financial Secretary
Business: (716) 891-5362

ANN EHRIG, Treasurer
Business: (716) 883-2747



Treasurer's Report for Fiscal Year July, 2003 through June 30, 2004

CHECKING ACCOUNT BALANCE BEGINNING JULY 1, 2003	\$19,789.75
DEPOSITS	
July 2001	\$ 6,654.76
August 2001	\$ 7,332.74
September 2001	\$ 6,072.73
October 2001	\$ 11,736.27
November 2001	\$ 6,115.49
December 2001	\$ 5,960.62
January 2002	\$ 5,797.09
February 2002	\$ 9,459.18
March 2002	\$ 7,454.36
April 2002	\$ 13,062.79
May 2002	\$ 8,715.31
June 2002	\$ 8,701.20
TOTAL DEPOSITS:	\$ 97,062.54
RECEIPTS	
Local 409 Dues	\$ 85,976.38
Agency Fee	\$ 4,507.16
Christmas Party ticket sales	\$ 1,605.00
Union Picnic ticket sales	\$ 2,376.00
Golf Outing Ticket Sales	\$ 570.00
Reimbursement for HVACR books	\$ 2,028.00
TOTAL RECEIPTS:	\$ 97,062.54
<i>Adjustment: missing check #5469 added back into account</i>	<i>\$ 1,500.00</i>

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DISBURSEMENTS

Per Capita Payments:

I.U.O.E.	\$ 7,392.00
N.Y.S. AFL-CIO	\$ 327.72
Western NY Area labor Fed. AFL-CIO	\$ 179.60
Northeastern States Conference	\$ 167.62
<u>Office Expenses</u>	\$ 3,705.39
<u>Good and Welfare</u>	\$ 1,750.00
<u>Meeting Hall</u> (rental & refreshments)	\$ 8,333.83
<u>Officer Expenses</u>	\$10,899.10
<u>Sympathy</u>	\$ 1,074.54
<u>Professional Fees</u>	\$63,805.97

Committee Reimbursement Expenses:

Richard Kipler (Supplemental Fund)	\$ 434.00
Noel Wilhelm (BSEA)	\$ 49.88
Sawyer/Weed (Health & Safety)	\$ 1,259.45
Williams/Stesiak (Social)	\$ 806.59

Miscellaneous:

Mike Flicinski (reimb. for golf outing)	\$ 570.00
Mr. Joseph Torregrossa (reimb. plane tickets for seminar)	\$ 964.50
Fontana (Eng. picnic 2003)	\$ 3,862.40
Mr. Barry Kirker Jr.	\$ 515.25
James Paul	\$ 153.96
Thomson Learning (HVACR books)	\$ 2,089.05
National Joint Apprenticeship & Training Committee	\$ 41.91
WNYCOSH (membership fee)	\$ 75.00
Myron Corp. (union calendars)	\$ 348.00
De Lage Landen Financial Services	\$ 405.45

TOTAL DISBURSEMENTS **\$109,211.21**

Bank fee's and charges: \$ 236.42

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Balance in checking account July 1, 2003	\$ 19,789.75
Total Deposits	\$ 97,062.54
Adjustment: missing check #5469 added back into account	\$ 1,500.00
Transfer of funds: Certificate of Deposit to Checking account	\$ 12,361.83
Sub-Total	\$130,714.12
Bank charges & fee's	\$ 238.42
Total Disbursements	\$109,211.21
Balance in checking account June 30, 2004	\$ 21,264.49

Local 409 I.U.O.E. Certificate of Deposit at KeyBank (#8366)	
Balance July 1, 2003	\$12,302.06
Interest earned 7-1-03 to 6-30-04	\$ 59.77
Balance as of Dec. 2, 2003 (Account closed & funds deposited in checking account)	\$12,361.83

Local 409 I.U.O.E. Certificate of Deposit at KeyBank (#3648)	
Balance July 1, 2003	\$ 20,981.15
Interest earned 7-1-03 to 6-30-04	\$ 178.54
Balance June 30, 2004	\$ 21,159.69

Local 409 I.U.O.E. Certificate of Deposit at Fleet Bank (#8926)	
Balance July 1, 2003	\$35,498.17
Interest earned 7-1-03 to 6-30-04	\$ 447.73
Balance June 30, 2004	\$35,945.90

TOTAL OF CERTIFICATE OF DEPOSITS AS OF JUNE 30, 2004 **\$57,105.59**

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TOTAL CASH ASSETS AS OF JUNE 30, 2004

\$78,370.08

Charles Weed, Financial Secretary

Timothy Allen, Auditor



Ann E. Ehrig, Treasurer

Jerrell Moss, Auditor